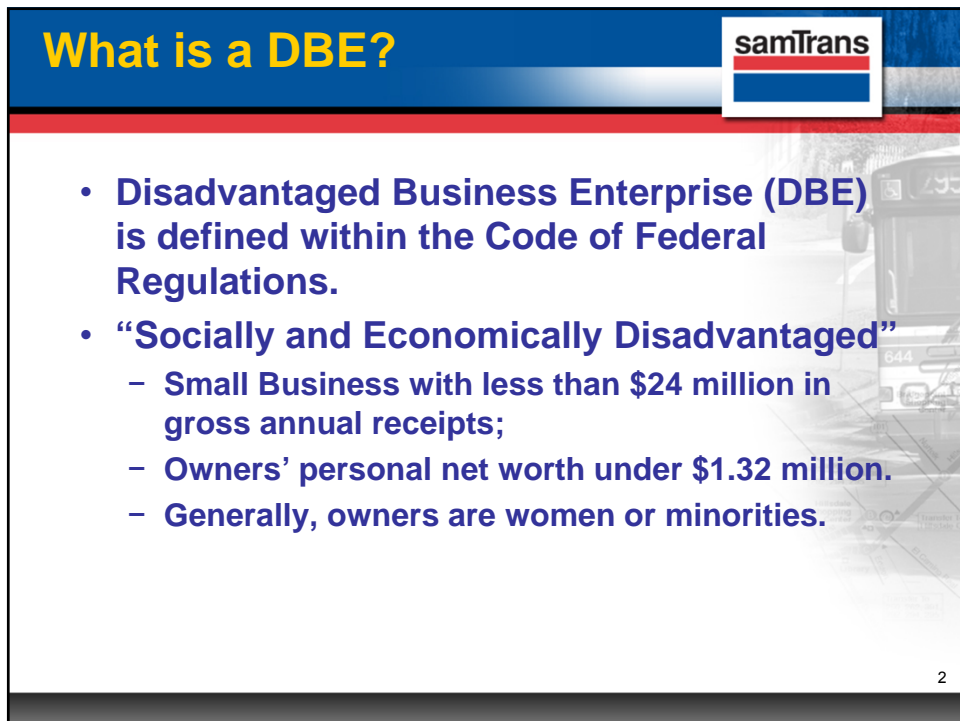





# Disadvantaged Business Enterprise Program: Goal Setting Methodology

May 2016 SamTrans Board of Directors  
San Mateo May 4, 2016  
Finance Agenda Item #7

## What is a DBE?



- **Disadvantaged Business Enterprise (DBE)** is defined within the Code of Federal Regulations.
- **“Socially and Economically Disadvantaged”**
  - Small Business with less than \$24 million in gross annual receipts;
  - Owners’ personal net worth under \$1.32 million.
  - Generally, owners are women or minorities.

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## DBE Goal Setting

The logo for samTrans, featuring the text "samTrans" in a sans-serif font above a stylized graphic of three horizontal bars in red, white, and blue.

- **DBE goals are set for three federal fiscal years.**
  - Current goal for FFY 2014-2016 is 1%.
  - DBE goals are set for federally funded contracting opportunities only.
  - DBE goals do not include contracting for Transit Vehicle Manufacturers.
- **Goals should represent the availability of ready, willing, and able DBEs on projected federally funded contracting opportunities.**

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## DBE Goal Setting Methodology

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- **Methodology is prescribed by federal regulations.**
- **All DOT funded agencies follow the same guidelines to reach a goal.**
- **SamTrans partners with VTA, SFMTA, BART, AC Transit, and other Bay Area partners to review goal setting practices with the public.**

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## Base Figure



- Define types of work on upcoming contracts.
- Identify DBE availability for each type of work.
  - Four FTA funded contracting opportunities forecasted for the next three years.
  - Two are for Transit Vehicle Manufacturers (excluded from goal setting).
  - Two are for Minivan purchases; there are no market area DBE dealers or wholesalers.

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## Adjustments to Base Figure



- Public comments
  - Events held April 12th and May 3rd in conjunction with other Bay Area Transportation Agencies.
- Past DBE participation
  - No past bids received for vehicle purchases.
- Disparity Study findings
  - No findings support an adjustment for vehicle purchases.

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## Next Steps

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- **0% Proposed DBE Goal for Federal Fiscal Years 2017-2019**
- **The DBE Goal will be advertised to the public for comments.**
- **After public comment, the DBE Goal setting methodology will be presented at the June 2016 Board for approval**
- **A final DBE goal and methodology explanation are due to the FTA by August 1st.**

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## Next Steps (cont'd)

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- **2016 Joint Disparity Study with SamTrans, PCJPB, and VTA.**
  - **Seeking input from DBEs on actual availability.**
  - **First outreach at VTA on May 3rd, additional outreach in San Mateo and San Francisco TBD.**
  - **New availability figures scheduled to be available by May 2017; may be used to adjust current goals.**

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## Questions?

samTrans

- **John Barker – Manager, Civil Rights Programs**
- **Elke Campbell – DBE Officer**